| Electronic Acknowledgement Receipt   |  |  |  |  |
|--------------------------------------|--|--|--|--|
| EFS ID:                              | 6153635                                |  |  |  |
| Application Number:                  | 10001921                               |  |  |  |
| International Application Number:    |  |  |  |  |
| Confirmation Number:                 | 8945                                   |  |  |  |
| Title of Invention:                  | Method and apparatus for trading bonds |  |  |  |
| First Named Inventor/Applicant Name: | Murray L. Finebaum                     |  |  |  |
| Customer Number:                     | 28089                                  |  |  |  |
| Filer:                               | Irah Donner/sophie murray              |  |  |  |
| Filer Authorized By:                 | Irah Donner                            |  |  |  |
| Attorney Docket Number:              | 1497210.00129US2                       |  |  |  |
| Receipt Date:                        | 28-SEP-2009                            |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)            |  |  |  |

# **Payment information:**

| Submitted with Payment                   | yes             |
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| Payment Type                             | Deposit Account |
| Payment was successfully received in RAM | \$1030          |
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# File Listing:

| Document<br>Number             | Document Description                           | File Name                                    | File Size(Bytes)/<br>Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |
|--------------------------------|--|--|-------------------------------------|---------------------|---------------------|
| 1 Notice of Appeal Filed       | 147210_129US2_Notice_of_Ap                     | 56449  | no                                  | 1                   |                     |
|                                | peal_092809.pdf                                | 0ec0a01edb6fa4a0d9e35f160c2c7c1b6f92<br>1257 |                                     |                     |                     |
| Warnings:                      |  |  |                                     |                     |                     |
| Information:                   |  |  |                                     |                     |                     |
| 2 Pre-Brief Conference request | 1497210_129US2_Pre_Appeal_                     | 65179  | no                                  | 1                   |                     |
|                                | Brief_Request_092809.pdf                       | 4fe364142fec72212ed25a7bf3253de9955c<br>f78e |                                     |                     |                     |
| Warnings:                      |  |  |                                     |                     |                     |
| Information:                   |  |  |                                     |                     |                     |
| 3 Pre-Brief Conference request | 1497210_129US2_Pre_appeal_<br>Brief_092809.pdf | 125524                                       | no                                  | 5                   |                     |
|                                |  | b97571d2757cb44eb4ad5244bc2e47e562<br>c71ec0 |                                     |                     |                     |
| Warnings:                      |  |  |                                     |                     |                     |
| Information:                   |  |  |                                     |                     |                     |
| 4 Extension of Time            | 1497210_129US2_EOT_092809<br>.pdf              | 92566  | no                                  | 1                   |                     |
|                                |  | 3e369cbccf1c6efdc9ce5e4b87939113478b<br>9a7a |                                     |                     |                     |
| Warnings:                      |  |  |                                     |                     |                     |
| Information:                   |  |  |                                     |                     |                     |
| 5 Fee Worksheet (PTO-875)      | fee-info.pdf                                   | 31323  | no                                  | 2                   |                     |
|                                |  | 180d28ab6ef7b09c96b2b053312ee1d42b7<br>e563e |                                     |                     |                     |
| Warnings:                      |  |  |                                     |                     |                     |
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|                                |  | Total Files Size (in bytes)                  | 37                                  | 71041               |                     |

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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